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# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/652,489	08/31/2000	Yongi Wang	SMX 3083.1 (99-104)

321  
SENNIGER POWERS LEAVITT AND ROEDEL  
ONE METROPOLITAN SQUARE  
16TH FLOOR  
ST LOUIS, MO 63102

## FORMALITIES LETTER



\*OC000000005471709\*

Date Mailed: 10/13/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$468.
  - \$234 for 13 total claims over 20.
  - \$234 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1288.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Repln. Ref: 01/09/2001 STEFERRA 0012484600  
DAH:191345 Name/Number:09652489  
FC: 704 \$65.00 CR

12/07/2000 SDUING 00000060 09652489  
01 FC:201 355.00 OP  
02 FC:202 120.00 OP  
03 FC:203 117.00 OP  
04 FC:205 65.00 OP  
05 FC:299 65.00 OP  
Adjustment date: 01/09/2001 STEFERRA  
12/07/2000 SDUING 00000060 09652489  
05 FC:299 -65.00 OP

12-05-00

Section #3

**FEE TRANSMITTAL**

DEC 04 2000

UNITED STATES PATENT & TRADEMARK OFFICE

Application Number  
Filing Date August 31, 2000  
First Named Inventor Yougi Wang, et al.  
Group Art Unit To be assigned  
Examiner Name To be assigned  
Attorney Docket Number SMX 3083.1

**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- ☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- ☒ Applicant claims small entity status
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

**FEE CALCULATION**

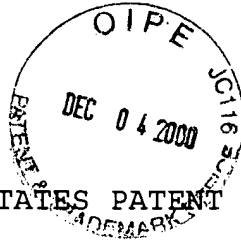
1. ☒ BASIC FILING FEE Subtotal (1) \$ 355.00  
(Type: utility)
2. ☒ EXTRA CLAIM FEES Subtotal (2) \$ 237.00  
Total Claims 33  
Independent Claims 6  
Multiple Dependent Claims \_\_\_\_\_
3. ☒ ADDITIONAL FEES Subtotal (3) \$ 130.00  
☒ Surcharge - late filing fee or oath  
☐ Surcharge - late provisional filing fee or cover sheet  
☐ Extension for reply within \_\_\_\_\_ month  
☐ Notice of Appeal  
☐ Filing a Brief in Support of an appeal  
☐ Request for ex parte Reexamination  
☐ Petitions to the Commissioner  
☐ Submission of Information Disclosure Statement  
☐ Recording each patent assignment per property  
☐ Request for Continued Examination  
☐ Other: \_\_\_\_\_

**TOTAL AMOUNT OF PAYMENT** \$ 722.00

Michael G. Munsell  
Michael G. Munsell, Reg. No. 43,820

12/4/00  
Date

MGM/tb



SMX 3083.1  
PATENT

# 3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Yougi Wang, et al.  
Serial No. 09/652,489  
Filed August 31, 2000  
For SAMPLING PROBE

December 4, 2000

**LETTER IN RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION - FILING DATE GRANTED**

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS,

SIR:

In response to the "Notice to File Missing Parts of  
Application - Filing Date Granted," dated October 13, 2000,  
\* enclosed is applicants signed Declaration and Power of Attorney  
\* in the above-referenced application. A copy of the Notice is  
\* returned herewith.

\* Also enclosed is a check in payment of the surcharge.

An assignment by the inventor(s) to Symyx Technologies, Inc.  
is being mailed today.

Respectfully submitted,

Michael G. Munsell, Reg. No. 43,820  
SENNIGER, POWERS, LEAVITT & ROEDEL  
One Metropolitan Square, 16th Floor  
St. Louis, Missouri 63102  
(314) 231-5400




SMX 3083.1  
PATENT

#3

CERTIFICATE OF MAILING

I hereby certify that the foregoing Response to Notice to File Missing Parts of Application - Filing Date Granted in the application of Yougi Wang, Serial No. 09/652,489, filed August 31, 2000 is being deposited with the United States Postal Service as Express mail, No. EL268613775US, postage prepaid, in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on this 4<sup>th</sup> day of December, 2000.

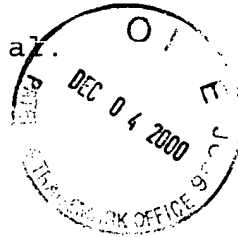
  
\_\_\_\_\_  
Tammy L. Bobka

MGM/tb  
\*Enclosures

5 / Letter  
2881  
Re Drafts  
dems  
2/28/01  
SMX 3083.1  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Yougi Wang et al.  
Serial No. 09/652,489  
Filed August 31, 2000  
For SAMPLING PROBE  
Examiner: To be assigned



Art Unit 2881

RECEIVED  
DEC 07 2000  
TECHNOLOGY CENTER 2800

December 4, 2000

REQUEST FOR AMENDMENT OF DRAWING

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS,  
SIR:

\* Applicant respectfully requests Fig. 1 of the drawings in the above-entitled application be amended as shown in red on the attached copy of sheet 1.

A portion of the vertical actuator assembly 16 was inadvertently omitted in Fig. 1. The omitted portion is shown in Fig. 3. No new matter is added by this amendment.

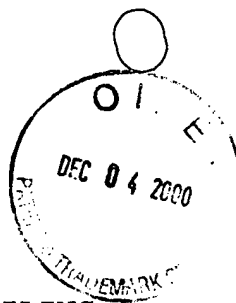
Applicant respectfully requests that the amendment be approved by the Examiner.

Respectfully submitted,

Michael G. Munsell, Reg. No. 43,820  
SENNIGER, POWERS, LEAVITT & ROEDEL  
One Metropolitan Square, 16th Floor  
St. Louis, Missouri 63102  
(314) 231-5400

RECEIVED  
FEB 16 2001  
TECHNOLOGY CENTER 2800

Match and Return

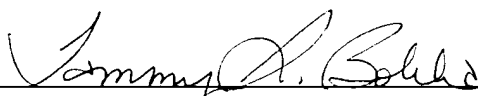


SMX 3083.1  
PATENT

DEC 07 2000  
TECHNOLOGY CENTER 2500

CERTIFICATE OF MAILING

I certify that the foregoing Request for Amendment of Drawing in the application of , Yougi Wang et al., Serial No. 09/652,489, filed August 31, 2000 is being delivered via Express Mail No. EL268613761US, with the United States Postal Service in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this 4th day of December, 2000.

  
\_\_\_\_\_  
Tammy L. Bobka

MGM/tb  
\*Enclosure

RECEIVED  
FEB 20 2001  
TO 2800 MAIL ROOM